

## City Police - Schedule of Internal Audit Projects

Full Reviews				Recommendations			
Project	Planned Days	Planned Completion Date	Current Stage	Total Red	Total Amber	Total Green	Total
<u>Police Key Financial Controls</u> An examination of the financial administration of the CoLP	20	31 <sup>st</sup> January 2019	Completed (Mazars Delivery)	3	9	-	12
<u>Police Key Financial Controls</u> – additional testing	10	30 <sup>th</sup> June 2019 (Actual)	Completed (Mazars Delivery)	-	5	-	5
<u>Interpreters Fees</u> A probity exercise comparing a sample of claims to guidance will be undertaken.	5	17 <sup>th</sup> July 2019 (Actual)	Final Report (Mazars Delivery)	0	2	2	4
<u>Police Accommodation Programme</u> To examine the reasons why the estimated cost of the original police accommodation programme increased from £40million to £124million.	15	30 <sup>th</sup> October 2019 (Actual)	Final Report (In-House Delivery)	5	0	0	5
<u>Fleet Street Accommodation Programme</u> An audit to determine the impact of “lessons learned” from the previous accommodation programme.	15	30 <sup>th</sup> October 2019 (Actual)	Final Report (In-House Delivery)	0	2	0	2
<u>Police Procurement Contract Management</u> The audit will examine the way in which the Force manages contracted service provision for a sample of contracts.	10	30 <sup>th</sup> October 2019	Draft Report (Mazars Delivery)				

Full Reviews			Recommendations				
Project	Planned Days	Planned Completion Date	Current Stage	Total Red	Total Amber	Total Green	Total
<u>Police Front Offices (including income collection and banking)</u> The purpose of this audit is to determine the adequacy of controls exercised by Police Station front desks over the handling of seized goods (including cash), lost property, and income for services.	10	30 <sup>th</sup> October 2019	Draft Report (Mazars Delivery)				
<u>Police Premises Expenditure</u> This audit will examine the controls over identifying faults and arranging building repairs. It will also look at the control over payments of utility bills and initiatives to reduce energy consumption.	15	30 <sup>th</sup> November 2019	Fieldwork (In-House Delivery)				
<u>Payroll and Overtime</u> The purpose of this audit is to examine the controls in place to ensure that officers are paid the correct salary in accordance with contracts of employment. In addition, it will examine the veracity of overtime payments made to officers.	15	30 <sup>th</sup> November 2019	Fieldwork (Mazars Delivery)				

<b>Full Reviews</b>				<b>Recommendations</b>			
<b>Project</b>	<b>Planned Days</b>	<b>Planned Completion Date</b>	<b>Current Stage</b>	<b>Total Red</b>	<b>Total Amber</b>	<b>Total Green</b>	<b>Total</b>
<u>Transform Change Programme Phase 1</u> desktop review of high-level design.	6	31 <sup>st</sup> July 2019	Fieldwork (Mazars Delivery)				
<u>Transform Change Programme Phase 2</u> Assurance: quality of planning; confidence of delivery (implementation capability and capacity); efficiency planning and tracking.	14	31 <sup>st</sup> March 2020	Not Started (Mazars Delivery)				
<u>Workforce Planning</u> This audit will examine the process for determining the level of staffing the Force requires and how planned staffing numbers are to be achieved.	15	31 <sup>st</sup> March 2020	Not Started (Mazars Delivery)				
<u>Financial Forecasting</u> The purpose of this audit is to provide assurance on the process for the control of net expenditure.	20	31 <sup>st</sup> March 2020	Not Started (Mazars Delivery)				